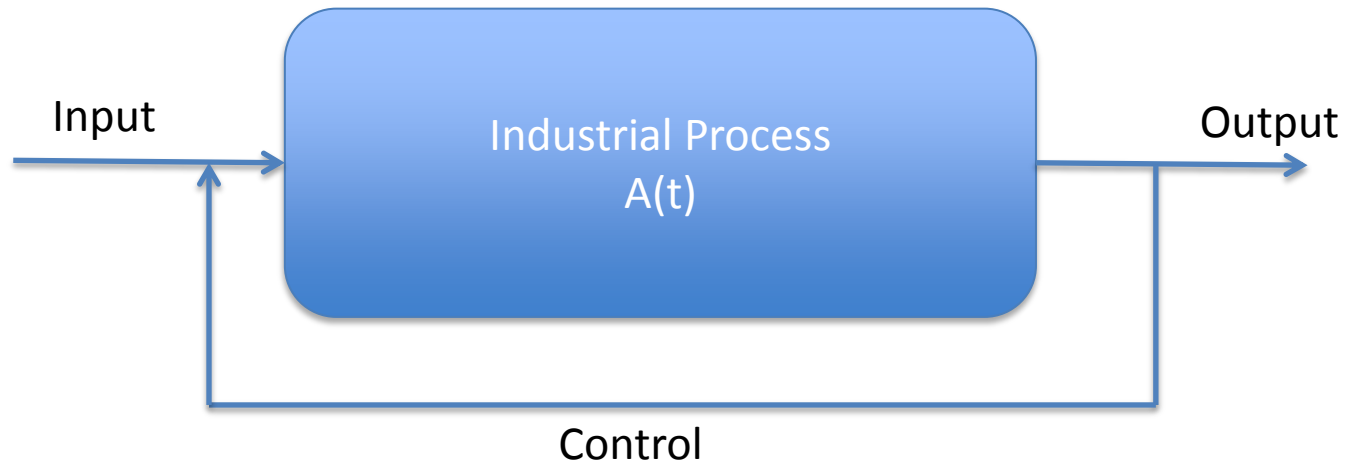


# SCADA System

TCIPG RG 1

# Industrial Systems



**SCADA:** Supervisory Control and Data Acquisition

The SCADA system monitors and {automatically or manually} controls the an industrial process.

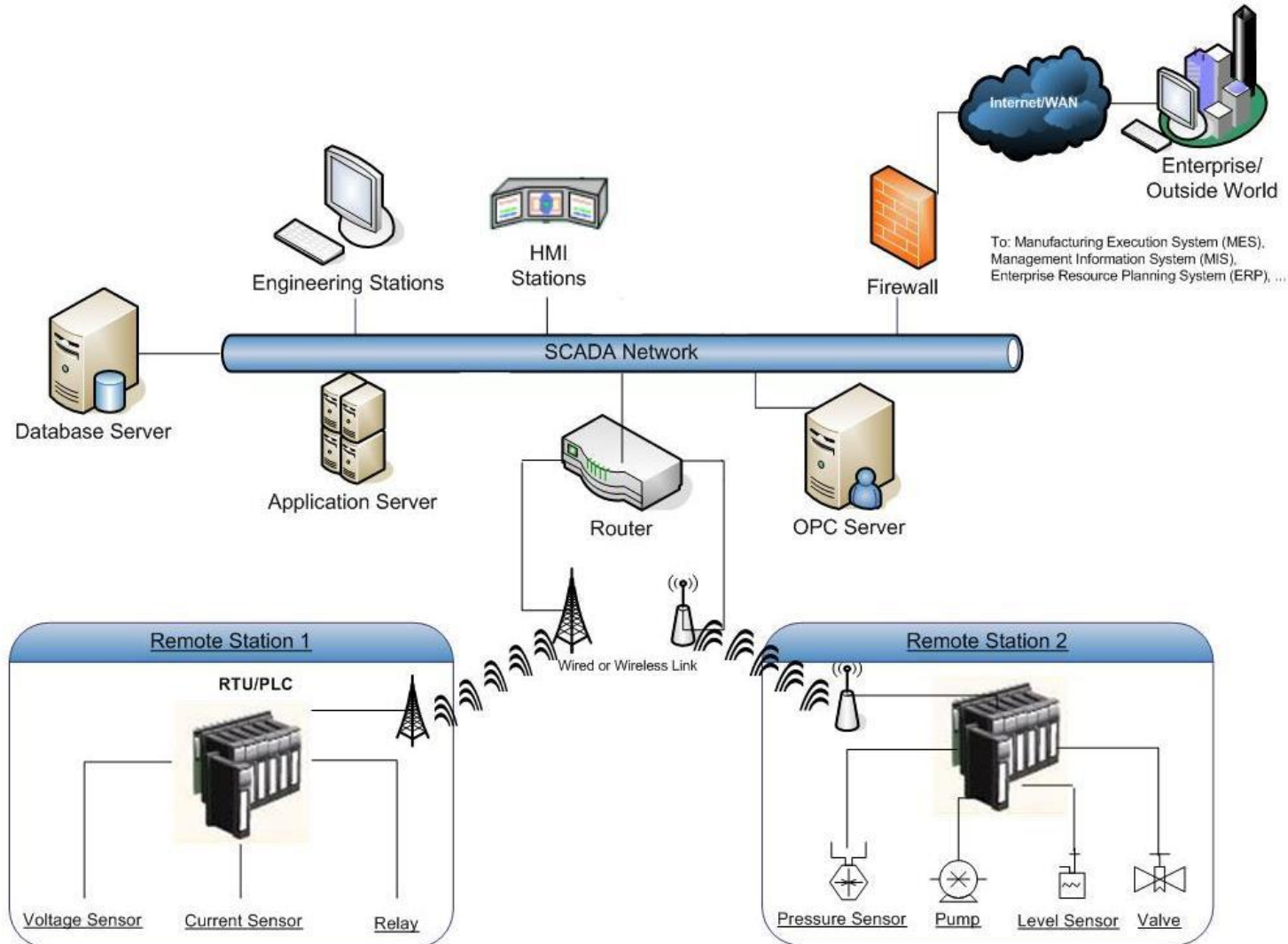
# Types of Processes

- Power generation and transmission
- Oil and Gas
- Air traffic and railways
- Water management
- Manufacturing

# In real life SCADA controls...

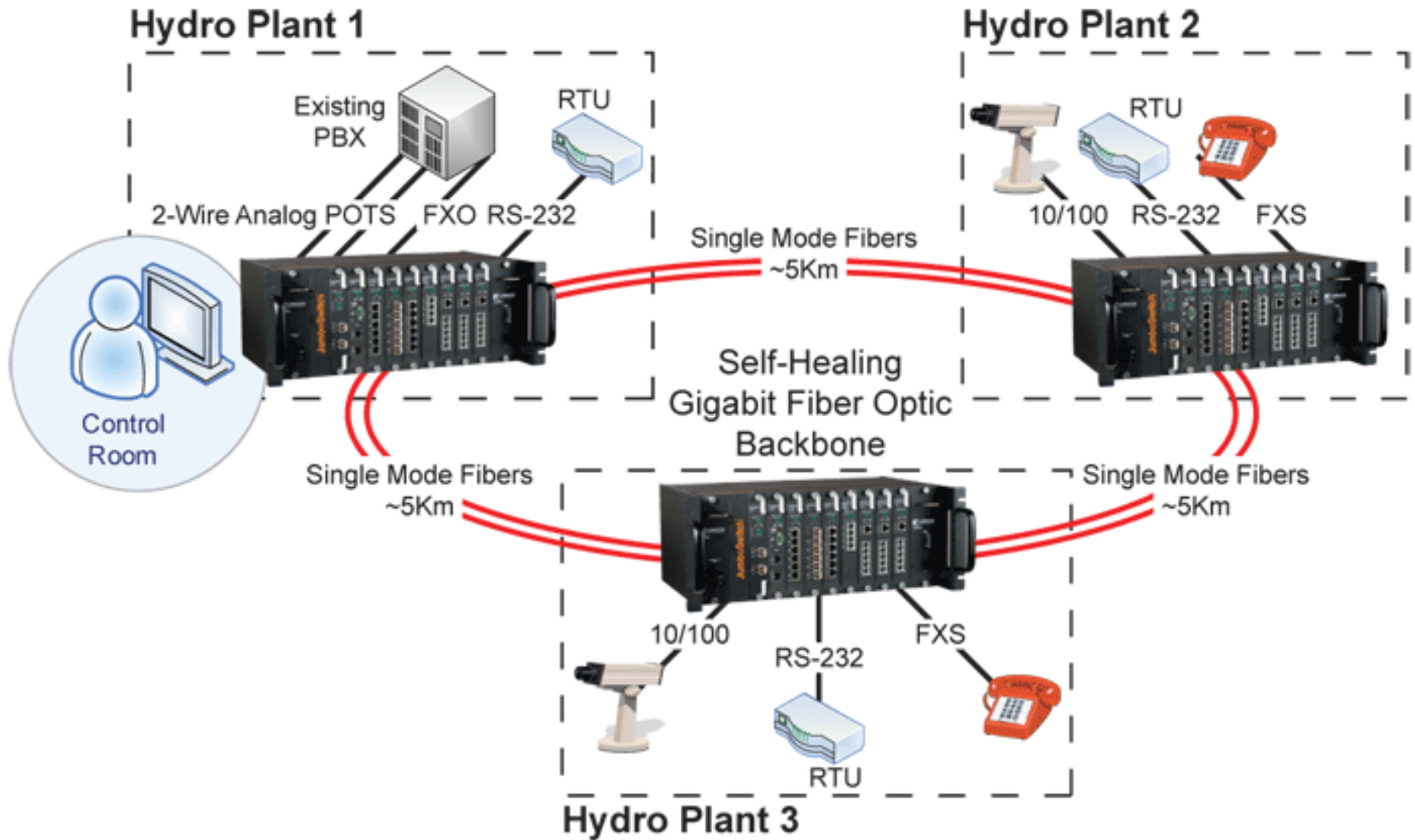
- The power in your home
- The water in your home
- Where the water goes from your home
- The traffic lights on the way to the office
- The commuter train controls
- The air conditioning system in your office building
- The phone system to your home

# SCADA topology



**OpenControl SCADA Network Architecture**

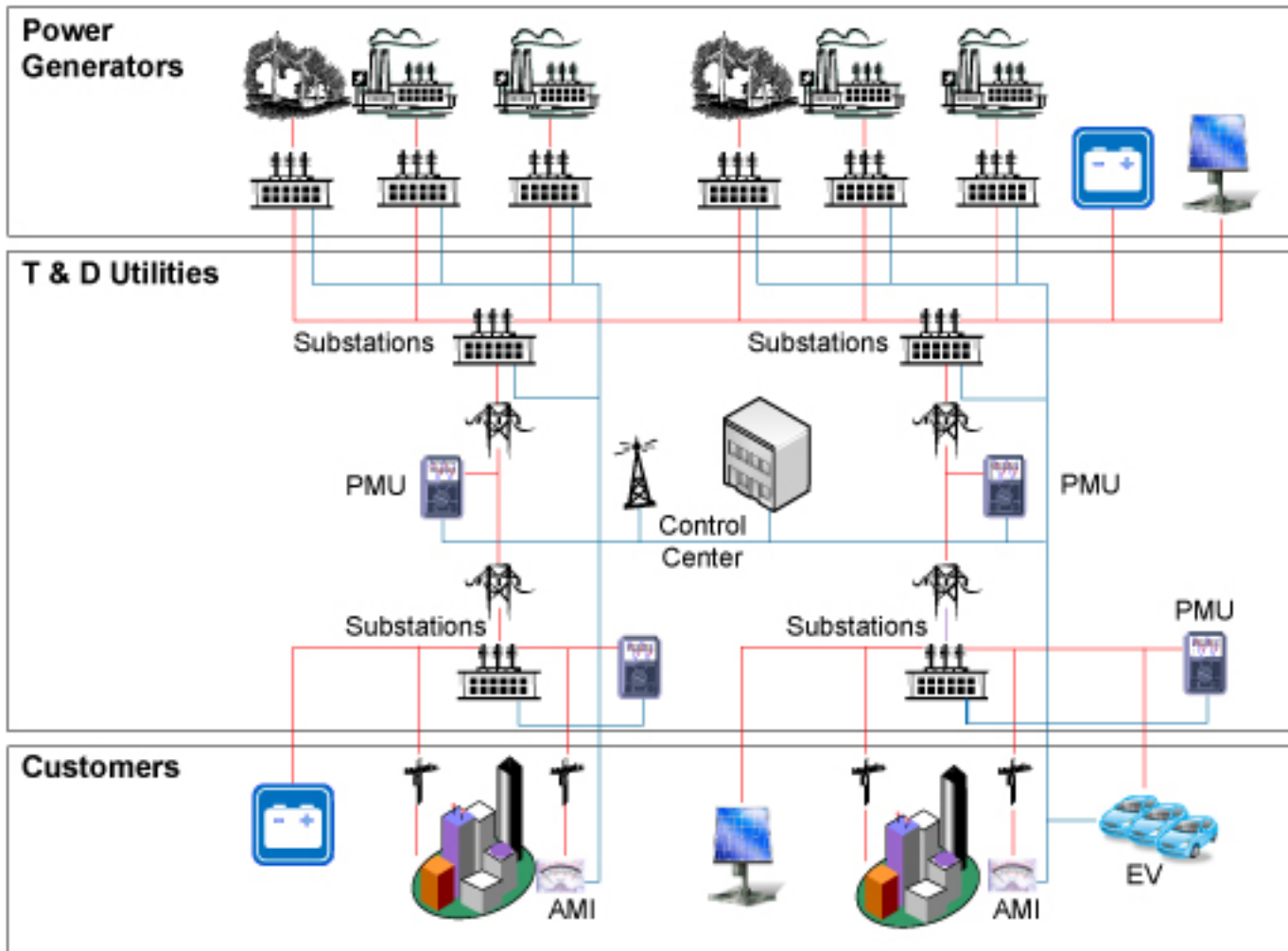
# Another Example



# Human-Machine Interface (HMI)



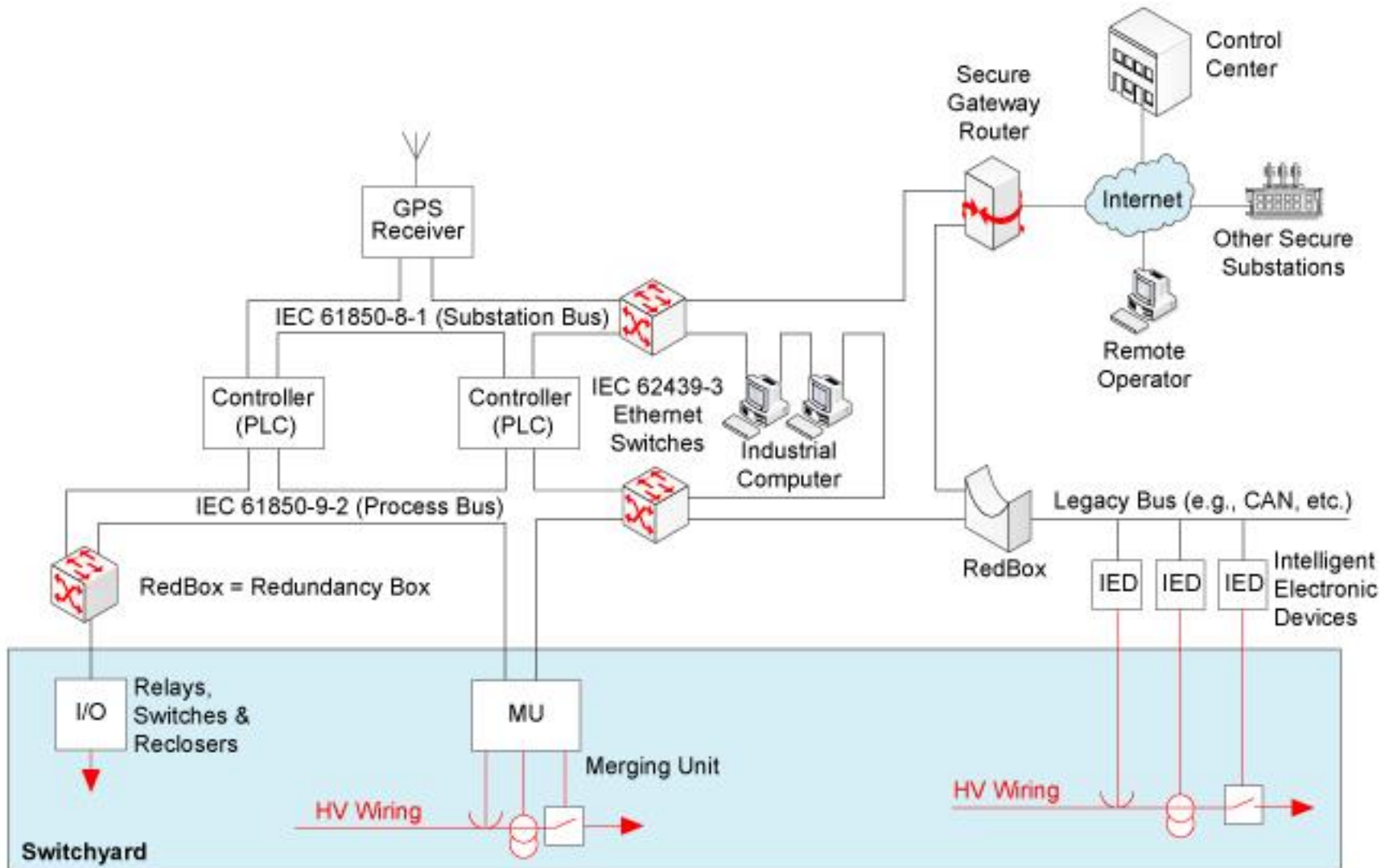
# Power Utility



— Electricity  
— Communication



# Substation Connection



# Protocols Used

- Raw binary protocols
  - DNP3 and modbus
  - Designed for serial links
  - Reads data and sends commands and alerts
- High-level data protocols
  - ICCP
  - Uses XML for communication
  - Human readable

# DNP3

33 2164.895637 10.0.0.8 10.0.0.3 DNP 3.0 79 from 3 to 4, Write

- ▶ Frame 33: 79 bytes on wire (632 bits), 79 bytes captured (632 bits)
- ▶ Ethernet II, Src: 3com\_93:70:67 (00:50:04:93:70:67), Dst: Intel\_ce:70:51 (00:02:b3:ce:70:51)
- ▶ Internet Protocol Version 4, Src: 10.0.0.8 (10.0.0.8), Dst: 10.0.0.3 (10.0.0.3)
- ▶ Transmission Control Protocol, Src Port: itm-lm (2828), Dst Port: dnp (20000), Seq: 16, Ack: 18, Len: 25
- ▼ Distributed Network Protocol 3.0
  - ▼ Data Link Layer, Len: 18, From: 3, To: 4, DIR, PRM, Unconfirmed User Data
    - Start Bytes: 0x0564
    - Length: 18
    - ▶ Control: 0xc4 (DIR, PRM, Unconfirmed User Data)
      - Destination: 4
      - Source: 3
      - CRC: 0x7c1e [correct]
  - ▼ Transport Layer: 0xc1 (FIR, FIN, Sequence 1)
    - 1... .. = Final: Set
    - .1.. .. = First: Set
    - ..00 0001 = Sequence: 1
    - ▶ Application data chunks
  - ▼ Application Layer: (FIR, FIN, Sequence 1, Write)
    - ▶ Control: 0xc1 (FIR, FIN, Sequence 1)
      - Function Code: Write (0x02)
    - ▼ WRITE Request Data Objects
      - ▶ Object(s): Time and Date (Obj:50, Var:01) (0x3201), 1 point

0000 c1 02 32 01 07 01 a9 e1 7b 87 ff 00 ..2..... {...

Frame (79 bytes) DNP 3.0 Application Layer message (12 bytes)

# GOOSE

73 7.434408 Schweitz\_01:b3:16 Iec-Tc57\_01:00:03 GOOSE 367

▶ Frame 73: 367 bytes on wire (2936 bits), 367 bytes captured (2936 bits)

▶ Ethernet II, Src: Schweitz\_01:b3:16 (00:30:a7:01:b3:16), Dst: Iec-Tc57\_01:00:03 (01:0c:cd:01:00:03)

▼ GOOSE

- APPID: 0x0003 (3)
- Length: 353
- Reserved 1: 0x0000 (0)
- Reserved 2: 0x0000 (0)

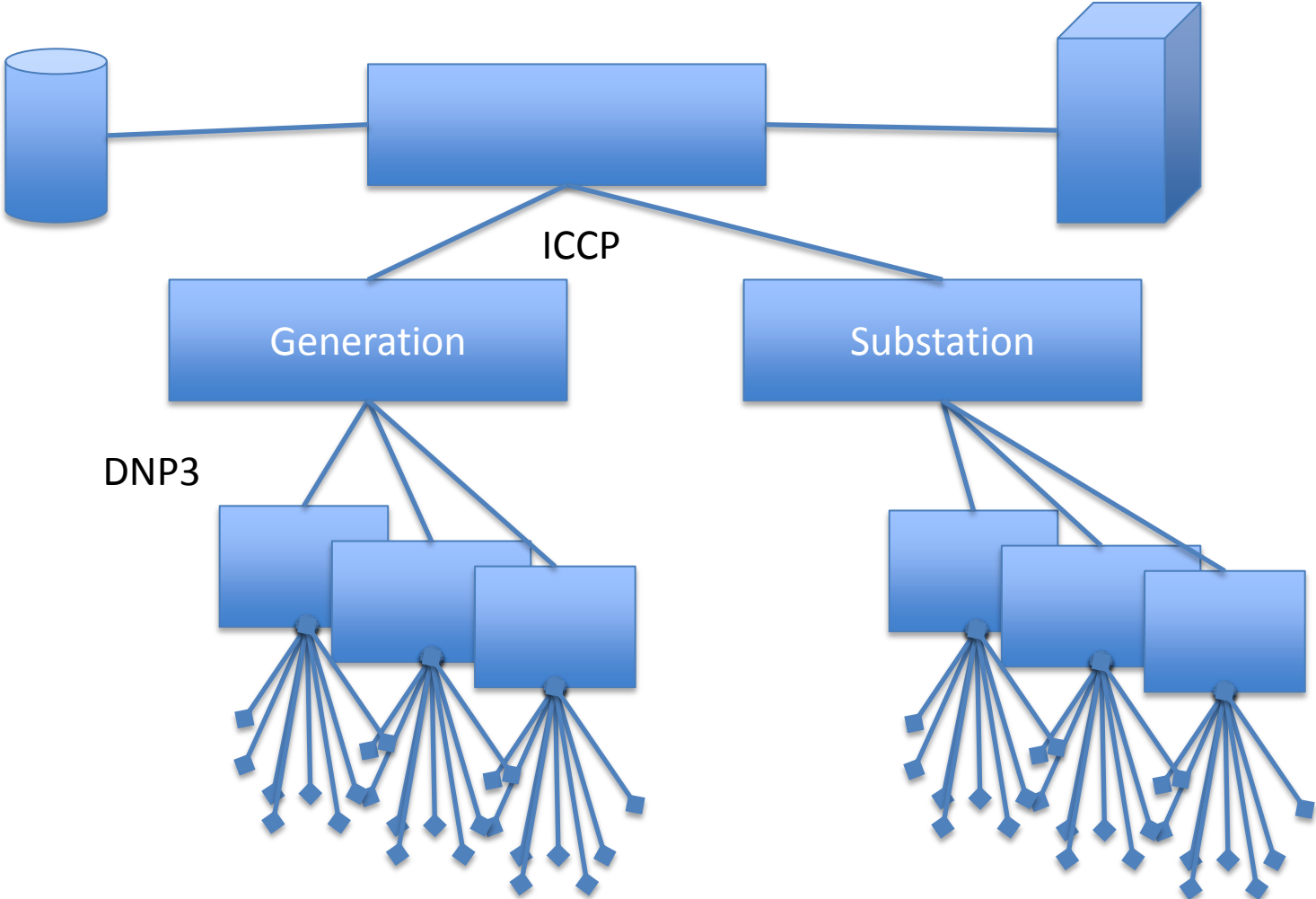
▼ goosePdu

- gocbRef: SEL\_351\_1CFG/LLN0\$G0\$NewGOOSEMessage
- timeAllowedtoLive: 2000
- datSet: SEL\_351\_1CFG/LLN0\$three51to2411
- goID: SEL\_351\_1
- t: Mar 23, 2012 08:12:27.177782654 UTC
- stNum: 23
- sqNum: 528
- test: False
- confRev: 1
- ndsCom: False
- numDatSetEntries: 1

▶ allData: 1 item

0000	01 0c cd 01 00 03 00 30	a7 01 b3 16 88 b8 00 03	.....0 .....
0010	01 61 00 00 00 00 61 82	01 55 80 24 53 45 4c 5f	.a....a. .U.\$SEL
0020	33 35 31 5f 31 43 46 47	2f 4c 4c 4e 30 24 47 4f	351_1CFG /LLN0\$G0
0030	24 4e 65 77 47 4f 4f 53	45 4d 65 73 73 61 67 65	\$NewGOOS EMessage
0040	81 02 07 d0 82 1f 53 45	4c 5f 33 35 31 5f 31 43	.....SE L_351_1C
0050	46 47 2f 4c 4c 4e 30 24	74 68 72 65 65 35 31 74	FG/LLN0\$ three51t
0060	6f 32 34 31 31 83 09 53	45 4c 5f 33 35 31 5f 31	o2411..S EL_351_1
0070	84 08 4f 6c 30 6b 2d 83	2a 9f 85 01 17 86 02 02	..0lok-. *......
0080	10 87 01 00 88 01 01 89	01 00 8a 01 01 ab 81 df	.....

# Protocols



# Security Issues

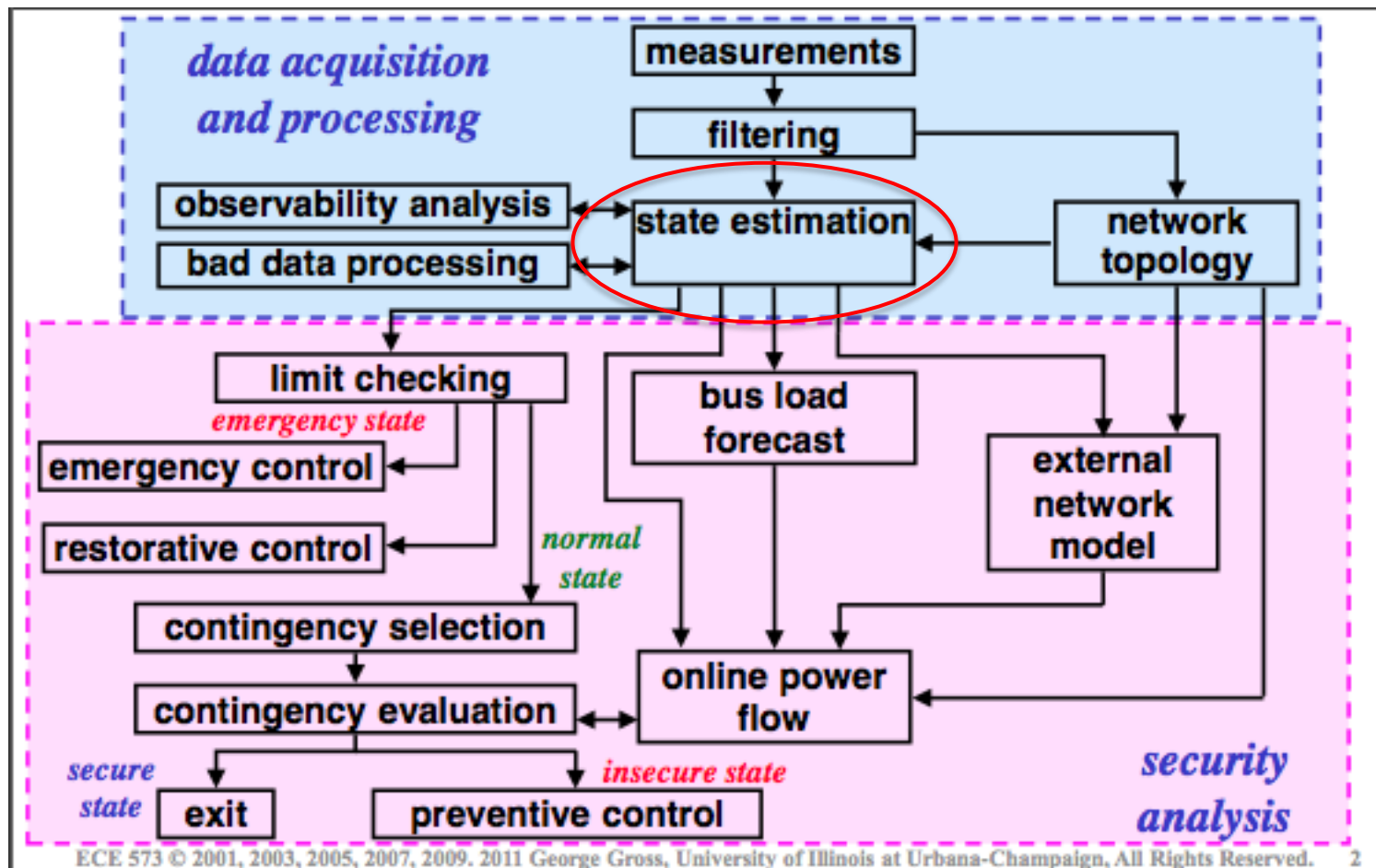
- SCADA does not authenticate users or devices
  - All SCADA protocols do not implement authentication. Trust assumption
- Patching is really hard
  - Devices have to be taken offline for patching
- Believe they are not connected
  - Laptops roam around (WiFi)
  - Ex. Historians are a possible leak
- Old Modems

# SCADA Functions in Power Systems

- Another commonly used term is *energy management system (EMS)*, which is a broader concept.
  - An energy management system (EMS) is a system of computer-aided tools used by operators of electric utility grids to monitor, control, and optimize the performance of the generation and/or transmission system.
  - The monitor and control functions are known as SCADA; the optimization packages are often referred to as "advanced applications". They are closely related.

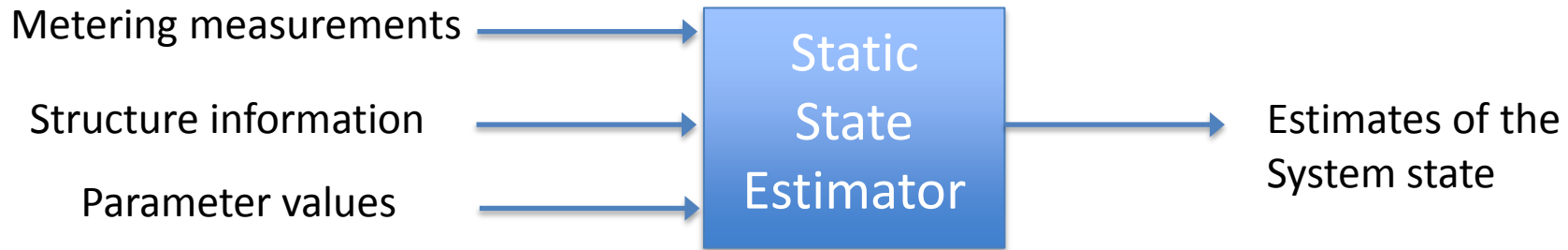
# SCADA: Monitoring

- State estimation is the core



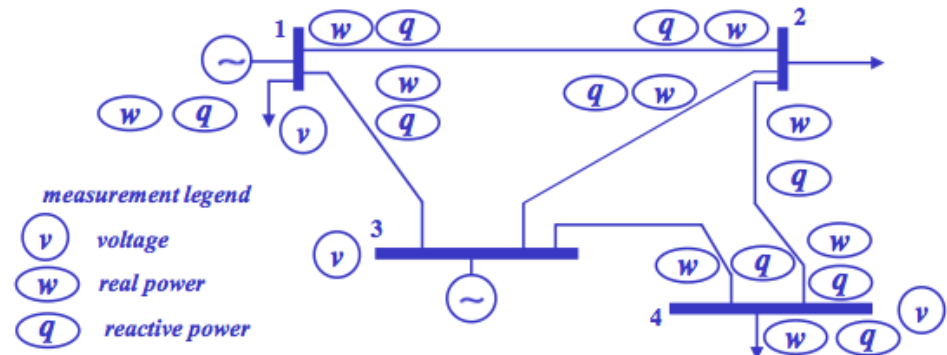


# State Estimation



- Typical measurements used for state estimation:
  - Real and reactive power flows on the lines; -Bus voltage magnitudes at generation;
  - Transformer tap settings; -Real and reactive power loads at load buses;
- System state
  - voltage magnitude and angle
- Example:

- 21 measurements,
- 7 state variables



# State Estimation

- Basic assumption
  - Power system is in the *quasi-steady-state* condition
- Problem formulation

$$z = h(x) + \nu \longleftrightarrow \text{Power flow equations}$$

- Estimation method
  - weighted least-squares (WLS) estimation
- Security consideration
  - bad data detection (incorporation of PMU data)

# SCADA: Control

- In EMS, the time hierarchy for operations and control decisions

Time Scale	Load Variations	Function in EMS	Decision
seconds	small, random	automatic control	Match the on-line generation with the load
minutes	large	economic dispatch	Allocate economically load among the committed generating units
days and hours	wide	unit commitment	Start-up and shutdown of units
weeks	very wide swings	Fuel, hydro, and maintenance scheduling	Meet load with the installed resource mix

# SCADA: Control

- SCADA control mechanisms:
  - Voltage control:
    - Var compensation, in-phase transformer tap settings
  - Frequency control: AGC
  - Topology change: line switching
  - Load shedding: the last resort
  - Protection device (e.g., relays) parameter setting
- Security consideration:
  - The impact of malicious control command is hard to evaluate.