

Cyber-Physical Security for the Smart Grid

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(Joint work with Shan Liu, Takis Zourntos and
Karen Butler-Purry)

Dr. Deepa Kundur



- Cyber security of the electric smart grid
 - Cyber security impact analysis
 - Modeling and analysis of cyber-physical system dependencies
 - Robust topology design
- Security and privacy of sensor and social networks
 - Secure wireless connectivity and routing
 - Design of ad hoc surveillance networks in attack-prone and uncertain environments
 - Distributed encryption
- Information forensics
 - Information leakage ac

CYBER SECURITY

Dr. Karen L. Butler-Purry



- On-line Monitoring, Condition Assessment, and Management of Power Distribution Systems
 - Prognosis of aging power systems
 - Protection of distribution systems with distributed generators
 - Active management of MicroGrid and stand alone power systems
 - Power management and damage control of shipboard power systems
 - System modeling and simulation for terrestrial and military

POWER SYSTEMS

Dr. Takis Zouros



- Control theory and applications
 - Linear and nonlinear adaptive and robust control
 - Nonlinear dynamical systems
 - Digital control
 - Applications in power and electromechanical systems
- Robotics
 - Autonomous agents, multi-agent systems
 - Algorithms and system integration for lightweight robots
 - Application of multi-agent techniques to smart grid systems
 - Human-robot interaction
- Integrated circuits
 - Analog, digital and mixed-signal microelectronics
 - Signal processing, data conversion and instrumentation

DYNAMICAL SYSTEMS



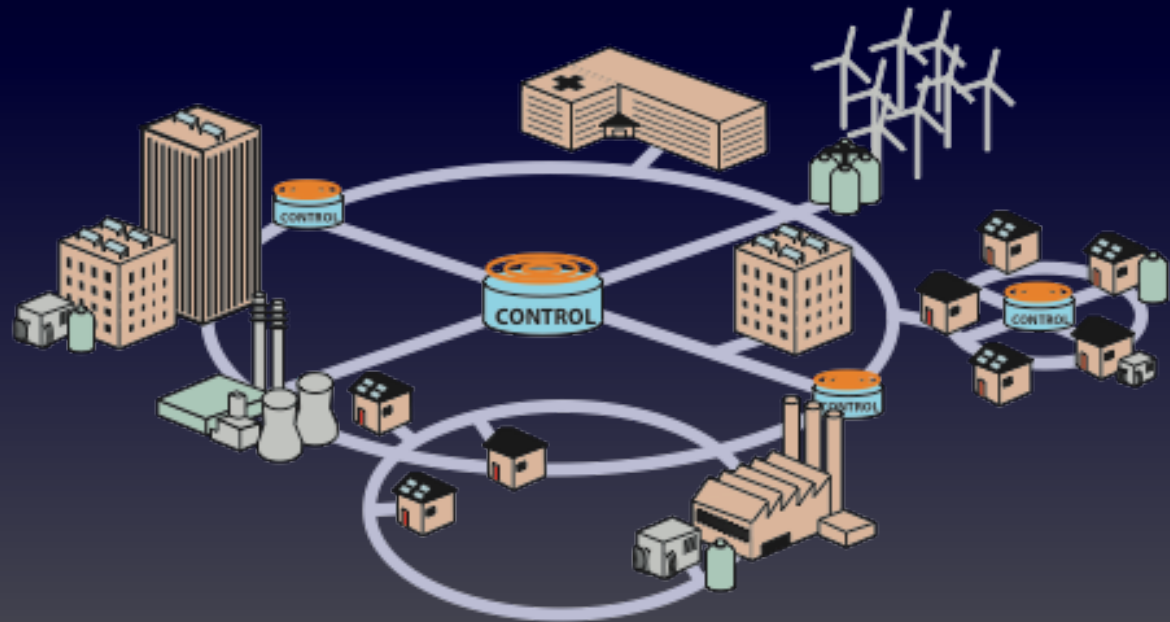
ge-scale power systems, including and hardware in the loop (HIL)



A Smarter Grid

MARRIAGE OF INFORMATION
TECHNOLOGY WITH THE EXISTING
ELECTRICITY NETWORK

Bidirectional information transfer!
Bidirectional energy transfer!



Why Cyber Protect the Grid?

Technical

INCREASED MOTIVATION
INCREASED
OPPORTUNITY

Public-Welfare

TERRORISM
PHYSICAL DAMAGE
CASCADING FAILURES

Business

SECURE FOR COMPLIANCE
PROTECT/REDUCE LIABILITY
ASSURE REVENUE



What has history taught us?

■ Commerce

IMPERSONATION
IMPERSONATION

- eCommerce has provided greater consumer- and vendor-centricity

■ Entertainment

PIRACY
PIRACY

- Digital entertainment has enabled more flexible business models

■ Friendship

PRIVACY
PRIVACY

- Social networking has allowed us to keep in touch with geographically distant friends



Lessons Learned

- Cyber security should be part of system design.
- Cyber security is a support service that should not hinder usability
- Cyber security is a process; no system is completely secure.

Cyber-Physical Interface



Cyber-Physical Interface





Fundamental R&D Questions

- What are the **electrical system impacts** of a cyber attack?
- How should security resources be **prioritized** for the greatest advantage?
- Is the new data/control **worth the security risk**?



Of Interest to the EPU Community

- Attacks on information accuracy
 - False data injection attacks
- Attacks on timely delivery
 - Denial of information access
- Attacks on access control
 - Reconfiguration attacks





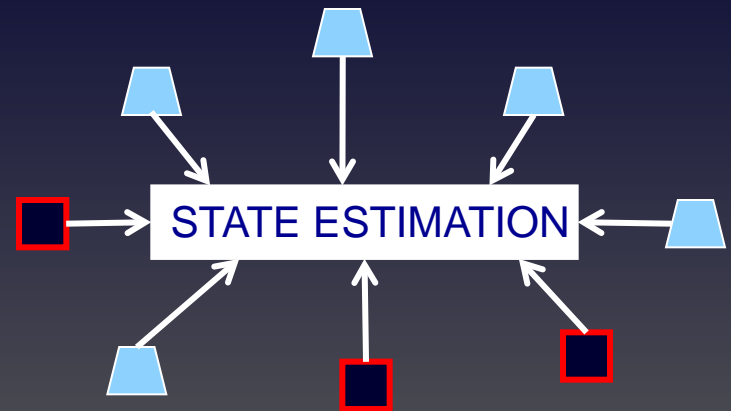
Design Mantra

“Cyber assets are targets of cyber attacks.”

- Cyber assets:
 - Any data, device or component of the environment that supports information-related activities
 - E.g., IEDs, PLCs, RTUs, PMUs, PDCs, SCADA, AMI, communication infrastructure ...

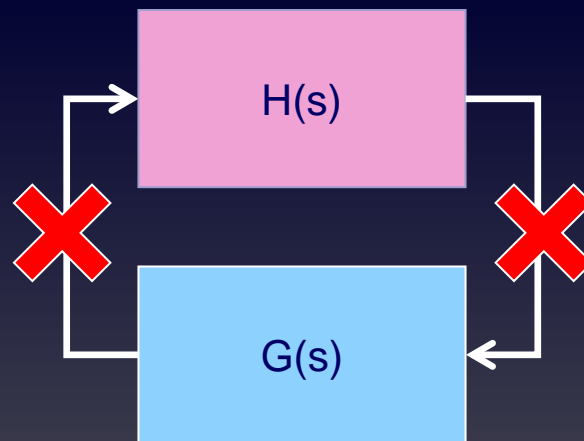
False Data Injection Attacks

- Liu et al. (2009)
- Corruption of measurements:
 - $z_a = z + a$, for $a = Hc$ and constraints on a
- Figures of merit:
 - Likelihood of finding a
 - Impact = $||x_a - x||$



Denial-of-Service Attacks

- How do you make decisions with lack of or delayed information?



Risk

- Risk = Likelihood x Impact
- Risk = $P_{\text{Threats}} \times P_{\text{Vulnerabilities}} \times \text{Impact}$

THREATS

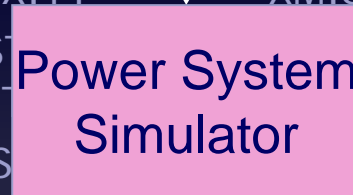
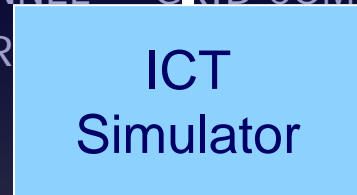
NATURALLY OCCURRING
UNTRAINED PERSONNEL
MALICIOUS INSIDER
LONE ACTORS
ORGANIZED CRIME
TERRORISM
NATION-STATES

COMMUNICATIONS

INTERNET
GRID COMPLEXITY
SYSTEMS
EXIT
EMS
MW DEVICES

GENERATION ACTUATORS

TRANSMISSION SENSORS
TRANSMISSION ACTUATORS
DISTRIBUTION SENSORS
DISTRIBUTION ACTUATORS
DISTRIBUTION GENERATION
MICROGRIDS



IMPACT AREAS

GENERATION SENSORS

VULNERABILITIES



Emerging Design Mantra

“Cyber-physical assets are targets of cyber-physical attacks.”

- Cyber-physical assets:
 - Any component of the environment that supports energy-related activities
 - E.g., IEDs, PLCs, RTUs, PMUs, PDCs, SCADA, AMI, communication infrastructure, energy sources, transformers, transmission lines, buses, loads





Cyber-Physical Vulnerabilities

- Cyber assets can be direct targets of **cyber** and **physical** attacks.
- Physical assets can be direct targets of **physical** attack and indirect targets of **cyber** attack.





Cyber-Physical Attacks

- Evolving definitions:
 - A coordinated set of cyber and physical attacks on cyber-physical assets with the goal of maximizing physical disruption
 - E.g., combination of transmission line fault with state estimation modification
 - A cyber attack employed on a cyber asset with the goal of disruptive impacts to the physical assets
 - E.g., control signal modification to reconfigure power system to an emergency state

Emerging Grand challenge: Modeling



Modeling Wish List

- **Tight coupling** of cyber and physical components:
 - time-scale integration, vulnerability analysis
- **Formalism** using powerful mathematical constructs
- Flexible **granularity** of modeling detail to tune complexity
- **'What if'** analysis possible.





Dynamical Systems

- ✓ Formalism
- Variable granularity
- 'What if' analysis

How can you model cyber and physical entities within a common framework?

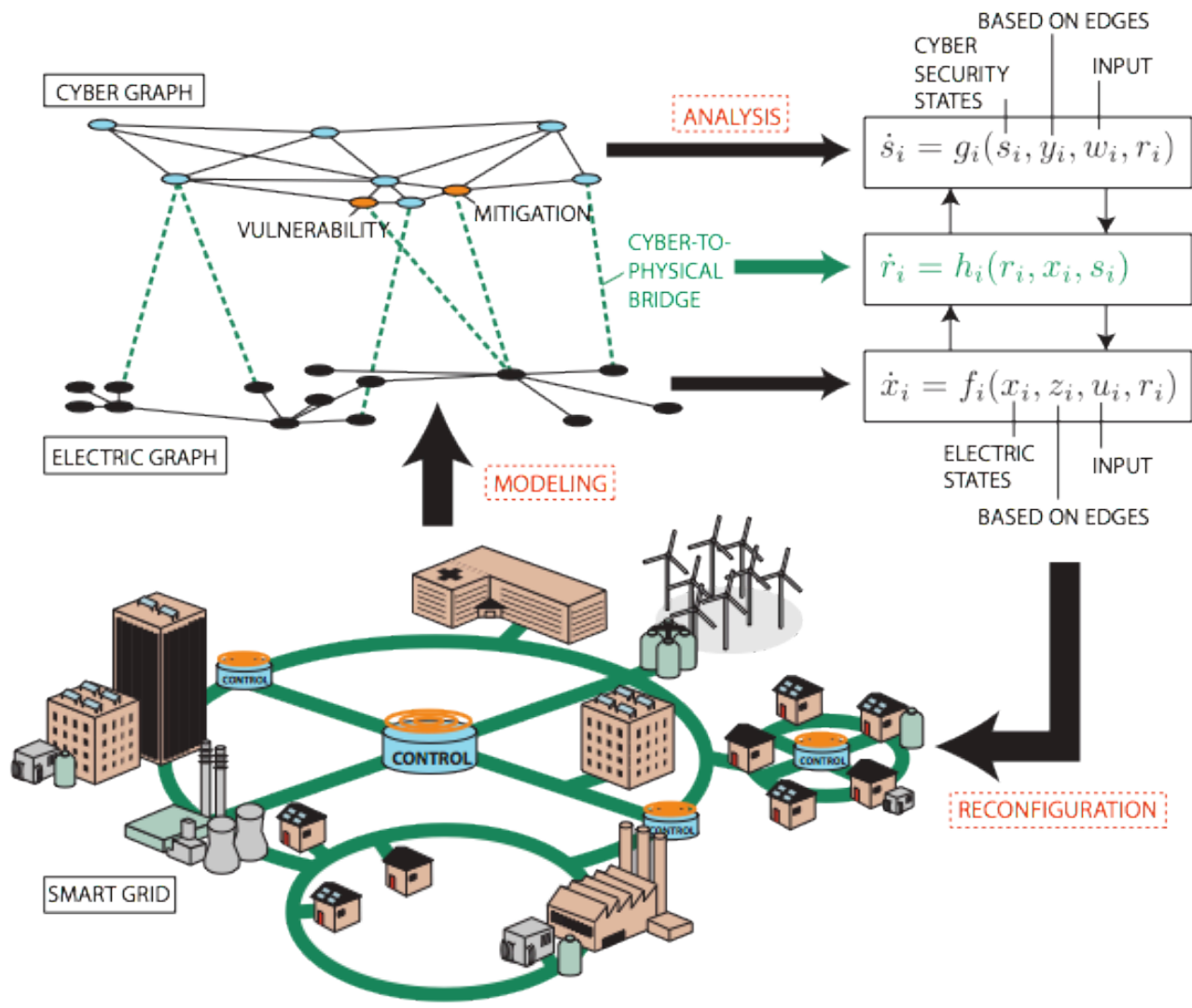
Dynamical Systems

- Describes time evolution of state vector:

$$\dot{x} = f(x, u)$$

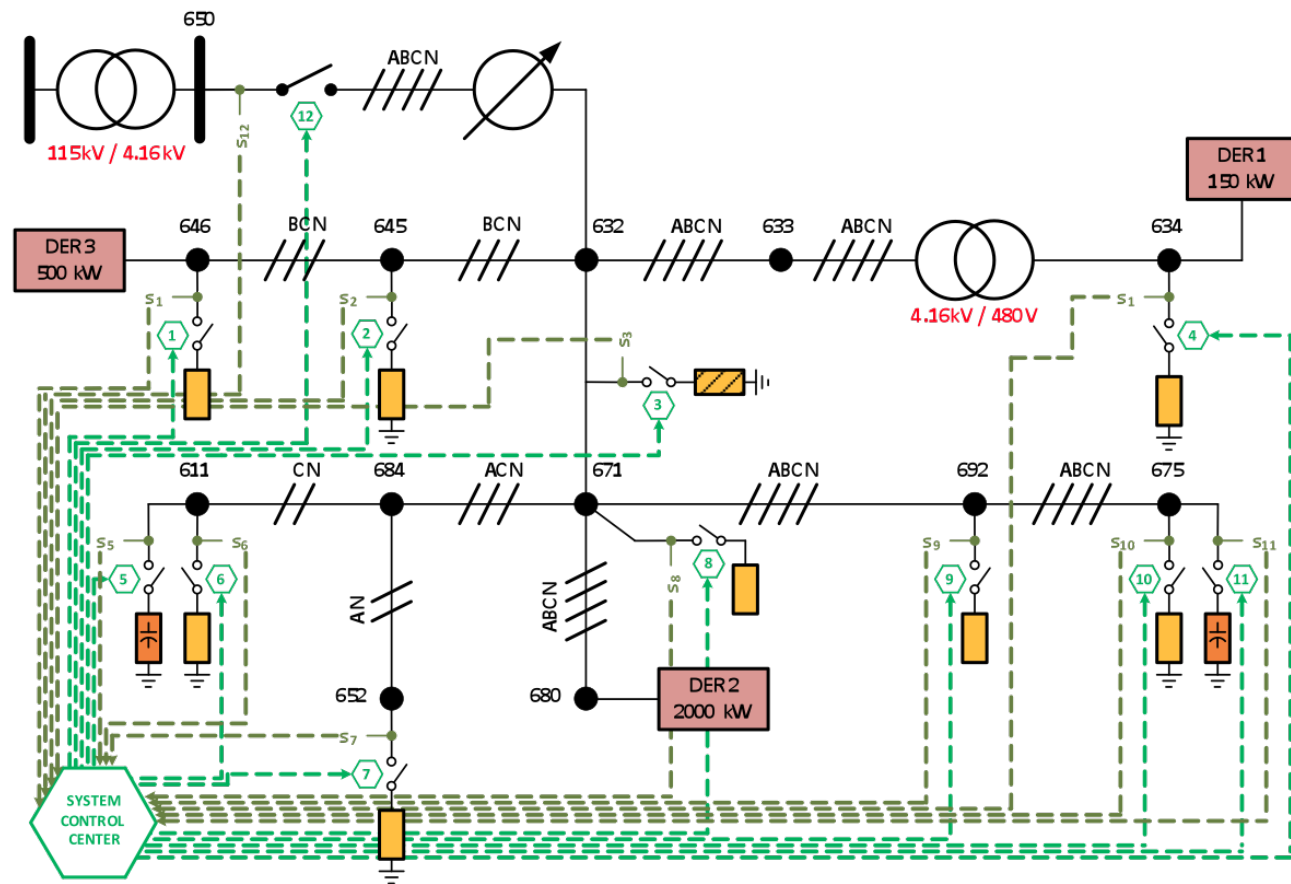
$$y = g(x, u)$$

- Models physics of power systems effectively

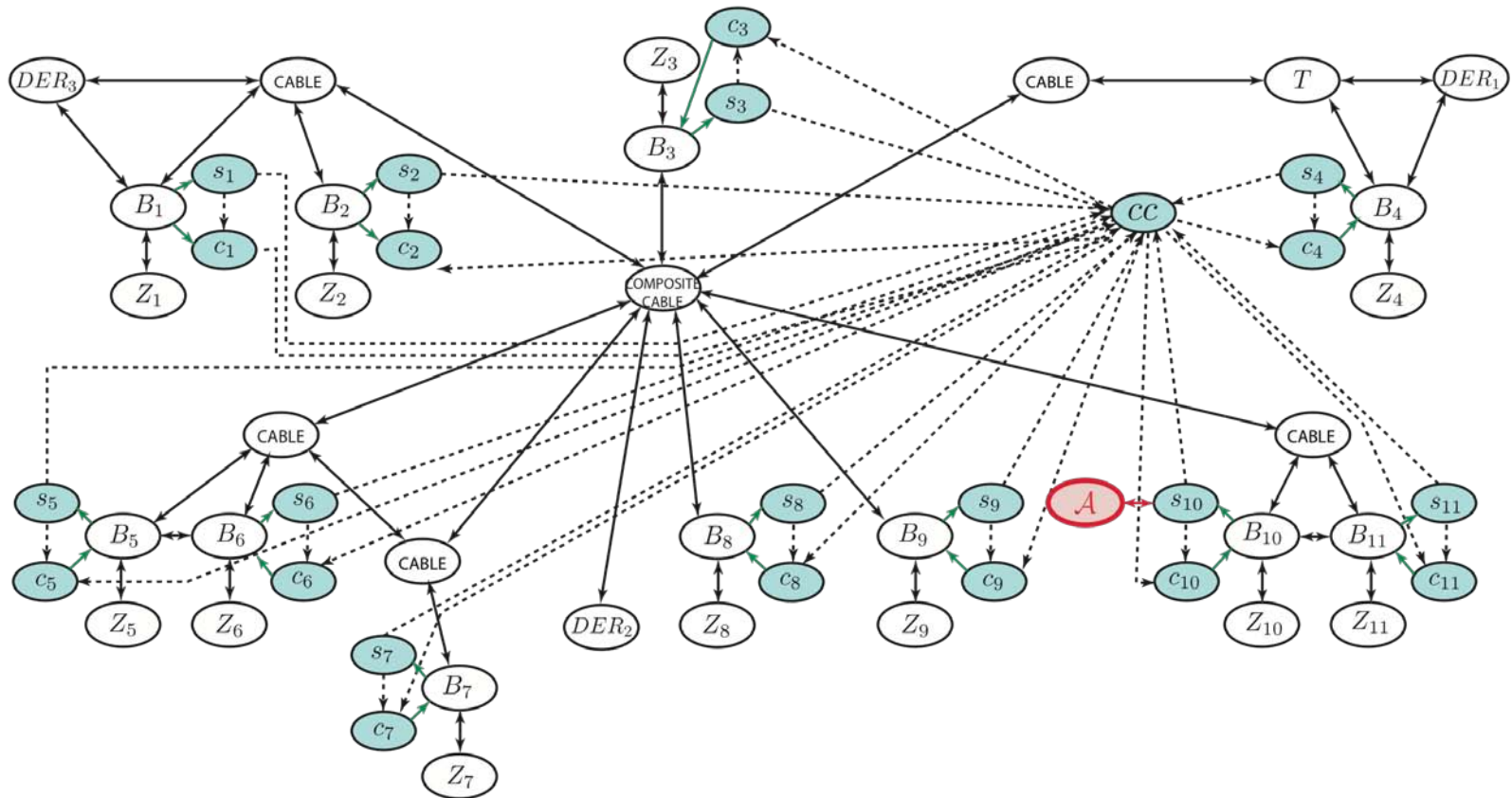


CAPTION: OVERVIEW OF CYBER SECURITY ANALYSIS FRAMEWORK

13 Node System



Graph Model





Of Interest to the Power Community

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Cyber-Physical Attacks

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Coordinated Switching Attacks

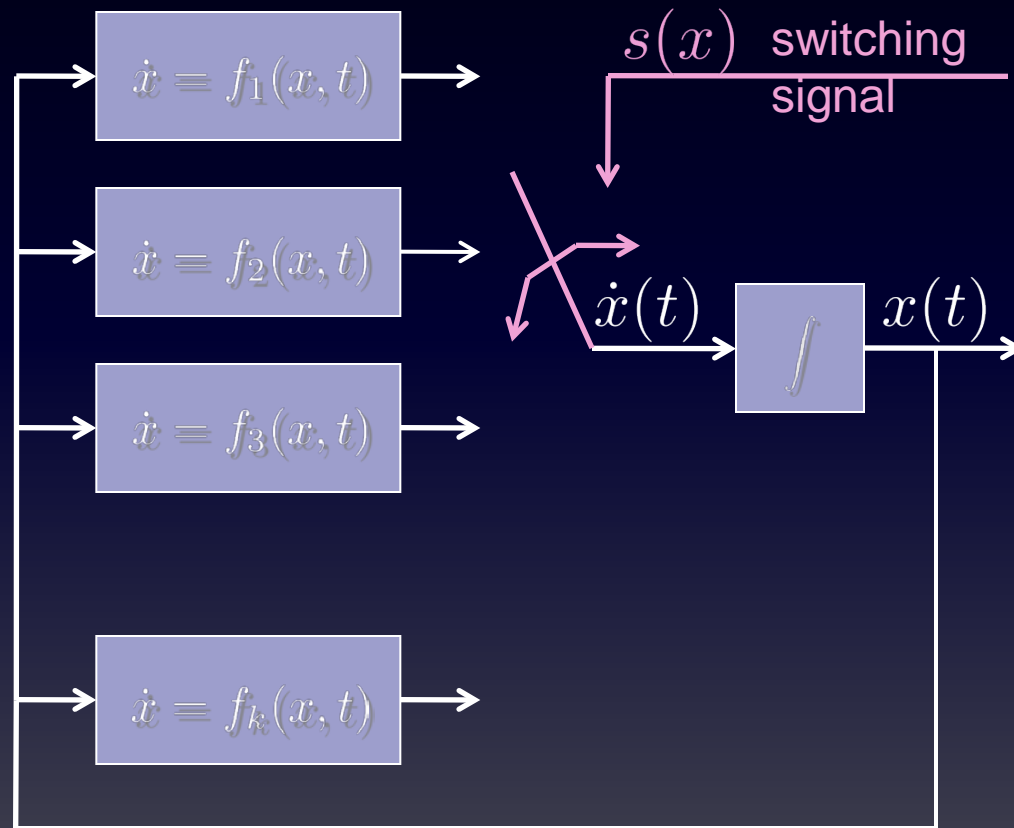
- Smart grid envisions remote access of circuit breakers and switches
- Breaker control signals are corrupted
- Exploits **physical** vulnerabilities from reconfiguration



Coordinated Switching Attacks

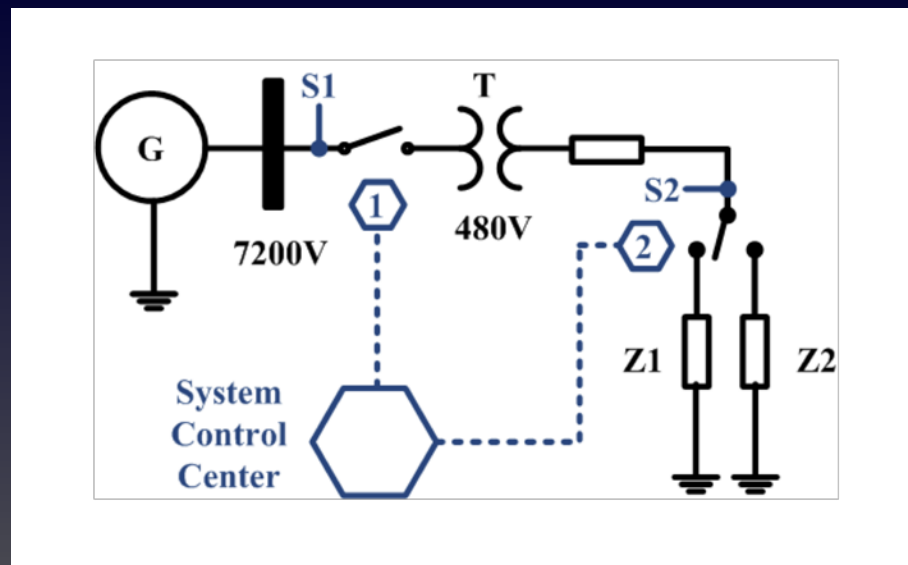
- **Goal:** physical disruption through rotor angle instability
- Exploit local state info to define a disruptive cyber control switching sequence
- Model the cyber-physical system as a type of **hybrid dynamic system**:
 - Exhibit both continuous and discrete behaviors

Variable Structure System



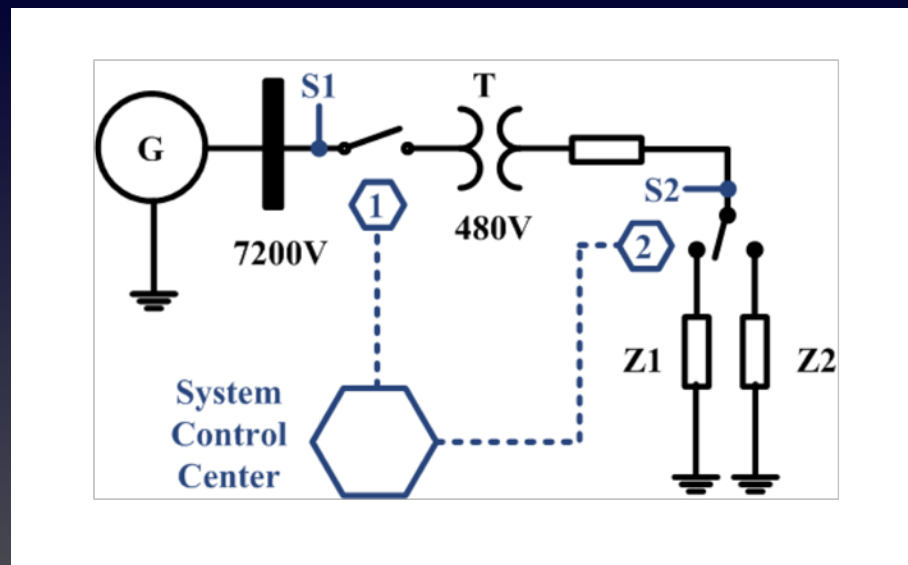
Variable Structure System

$$\dot{x} = \begin{cases} f_1(x, t) & s(x) > 0 \\ f_2(x, t) & s(x) \leq 0 \end{cases}$$

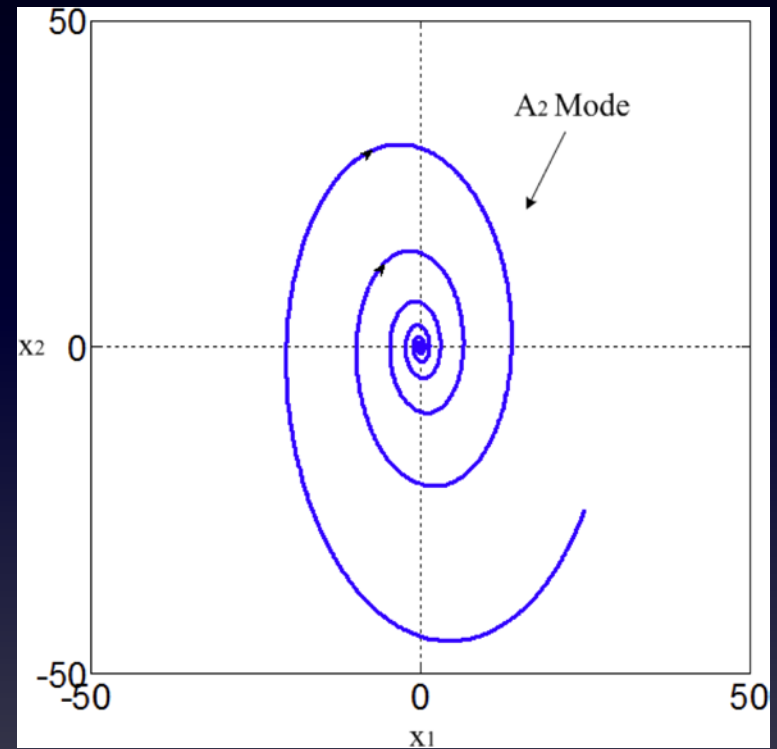
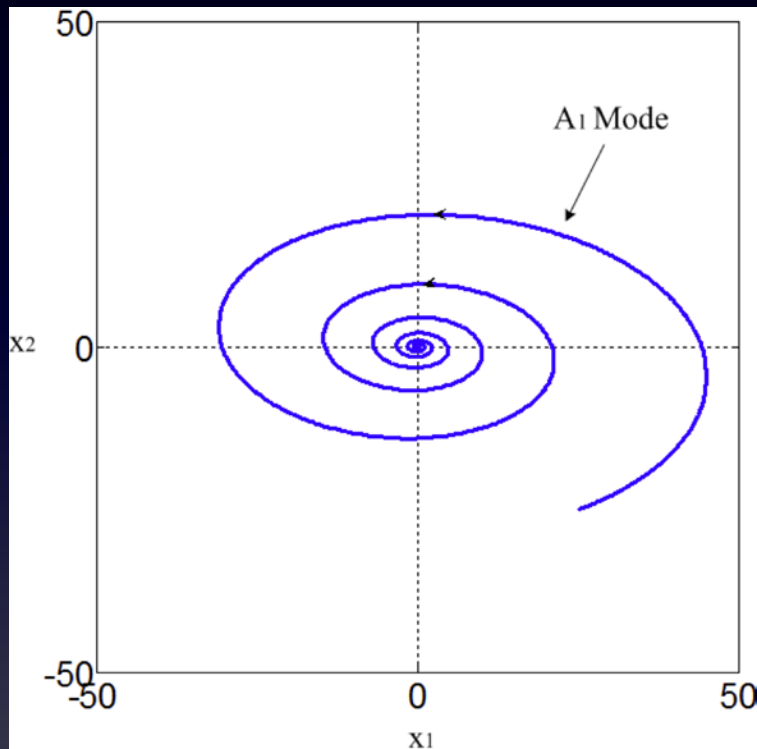


Variable Structure System

$$\dot{x} = \begin{cases} A_1 x, & s(x) > 0, \text{ where } A_1 = \begin{bmatrix} -1 & -10 \\ 3 & -0.3 \end{bmatrix} \\ A_2 x, & s(x) \leq 0, \text{ where } A_2 = \begin{bmatrix} -0.3 & 3 \\ -10 & -1 \end{bmatrix} \end{cases}$$

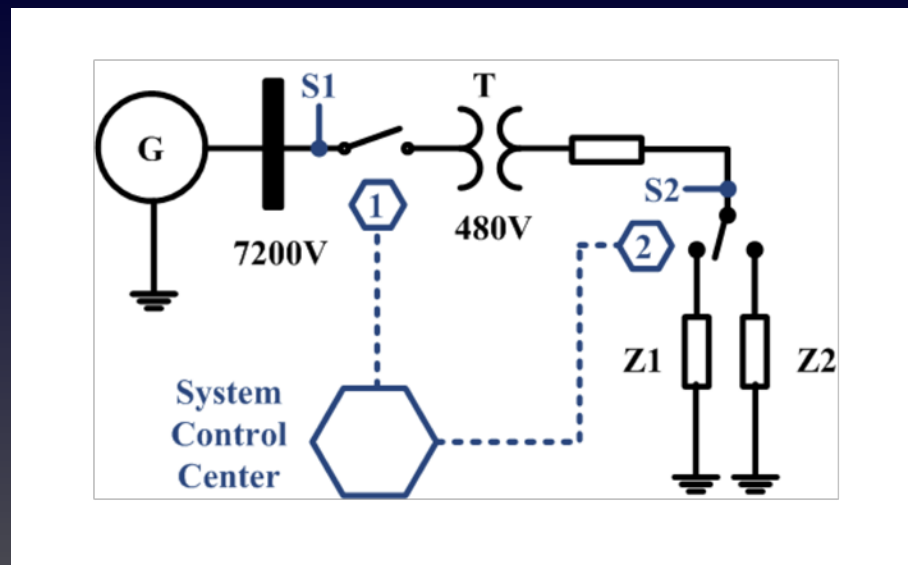


Static Switch Phase Portraits



Variable Structure System

$$\dot{x} = \begin{cases} A_1 x, & s(x) > 0, \text{ where } A_1 = \begin{bmatrix} -1 & -10 \\ 3 & -0.3 \end{bmatrix} \\ A_2 x, & s(x) \leq 0, \text{ where } A_2 = \begin{bmatrix} -0.3 & 3 \\ -10 & -1 \end{bmatrix} \end{cases}$$



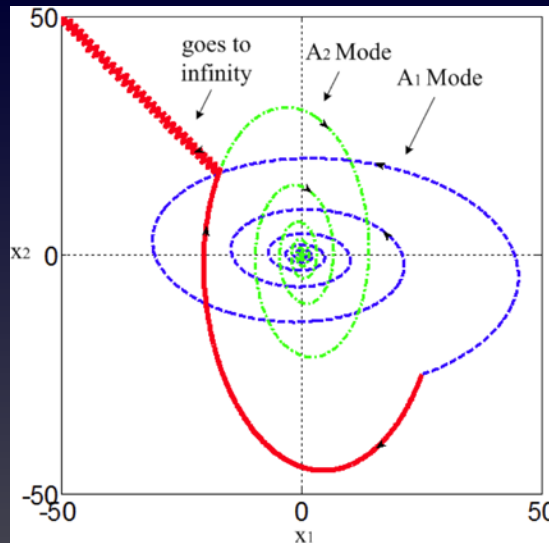
$$s(x) = x_1 + x_2$$

OR

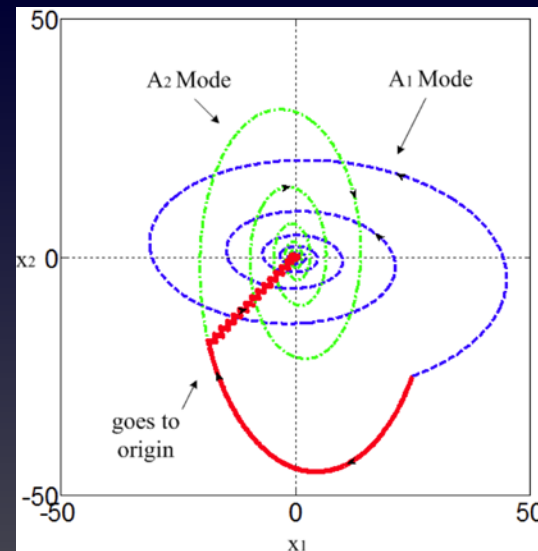
$$s(x) = -x_1 + x_2$$

Variable Structure System

$$\dot{x} = \begin{cases} A_1 x, & s(x) > 0, \text{ where } A_1 = \begin{bmatrix} -1 & -10 \\ 3 & -0.3 \end{bmatrix} \\ A_2 x, & s(x) \leq 0, \text{ where } A_2 = \begin{bmatrix} -0.3 & 3 \\ -10 & -1 \end{bmatrix} \end{cases}$$



$$s(x) = x_1 + x_2$$



$$s(x) = -x_1 + x_2$$



The Sliding Mode

- “Emergent” property from switching that has characteristics different from individual subsystems
- Motion of state trajectory along a chosen line/plane/surface



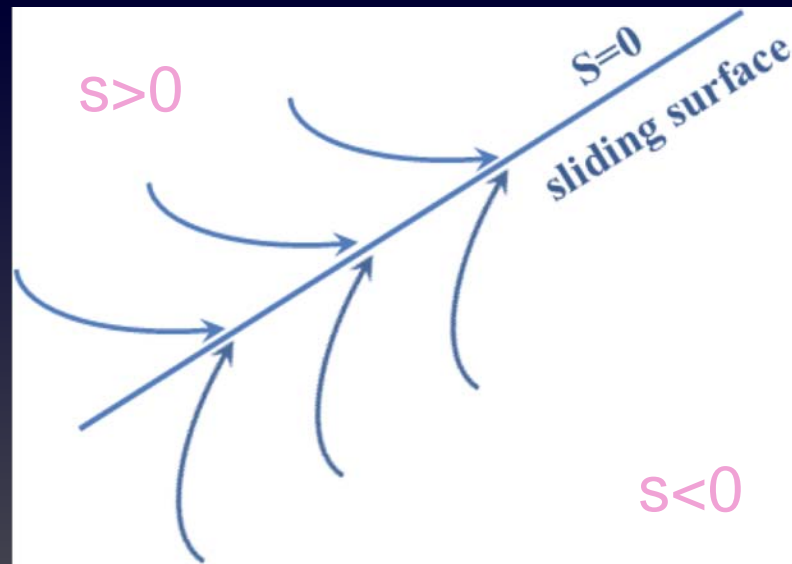
Existence of Sliding Mode

$$\lim_{s \rightarrow 0^+} \dot{s} \leq 0 \quad \text{and} \quad \lim_{s \rightarrow 0^-} \dot{s} > 0$$



$$s\dot{s} < 0$$

$$\dot{x} = \begin{cases} f_1(x, t) & s(x) > 0 \\ f_2(x, t) & s(x) \leq 0 \end{cases}$$



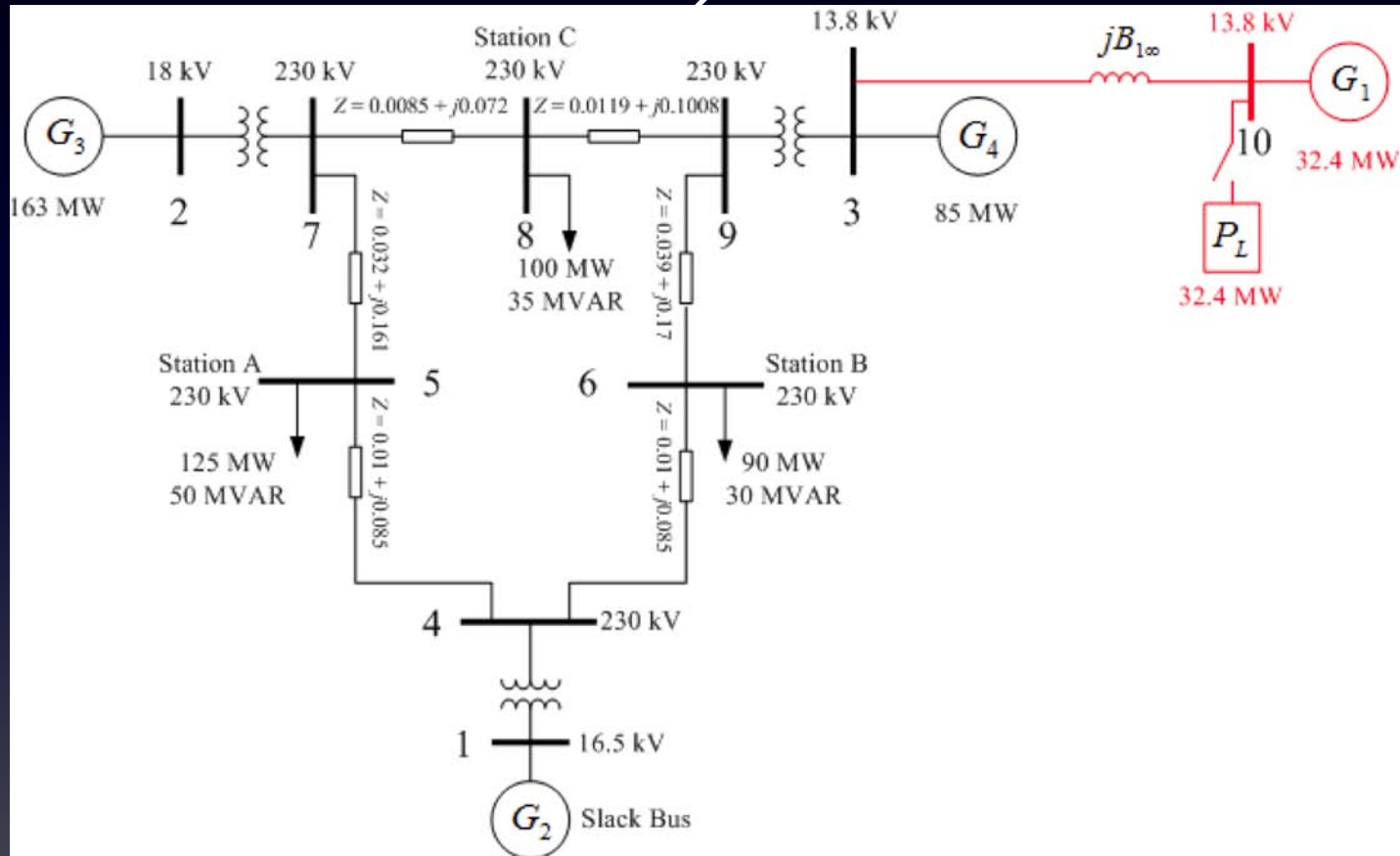


Attack Construction

1. Represent smart system as variable structure system whereby $s(x)$ is general.
2. Determine existence of and identify class of sliding modes.
3. Assign identified sliding surface for attack.

WECC System

Western Electricity
Coordinating Council, 3
machine, 9-bus system



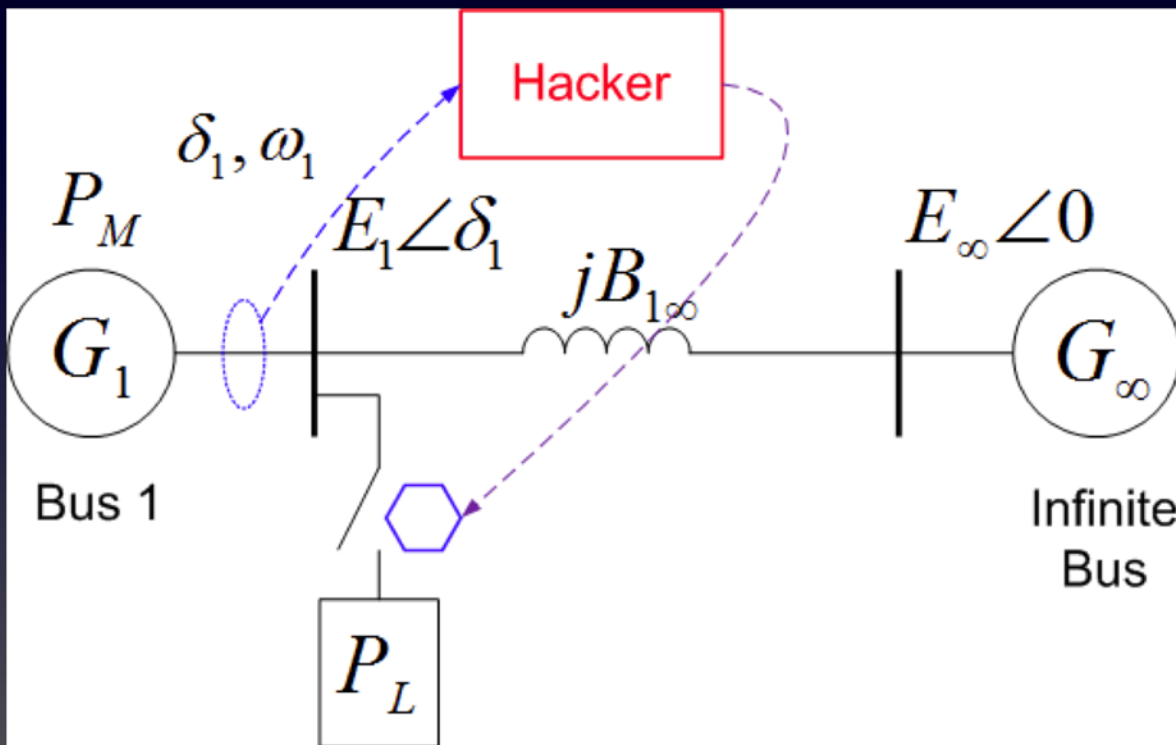
Step 1: Modeling

$$A_1 : \begin{cases} \dot{\delta}_1 = \omega_1 \\ \dot{\omega}_1 = -10 \sin \delta_1 - \omega_1 \end{cases} \quad \text{if } P_L \text{ connected}$$

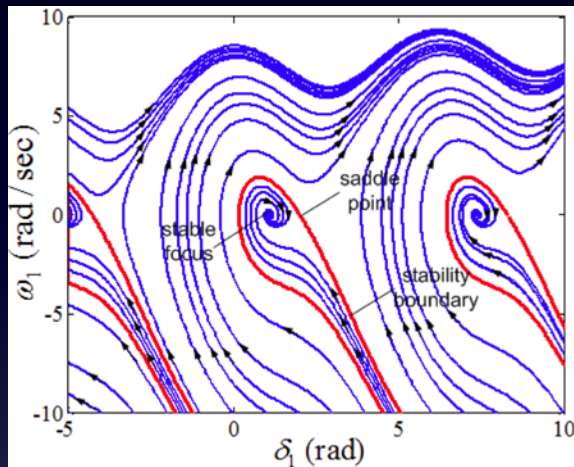
$$A_2 : \begin{cases} \dot{\delta}_1 = \omega_1 \\ \dot{\omega}_1 = 9 - 10 \sin \delta_1 - \omega_1 \end{cases} \quad \text{if } P_L \text{ not connected}$$

if P_L connected

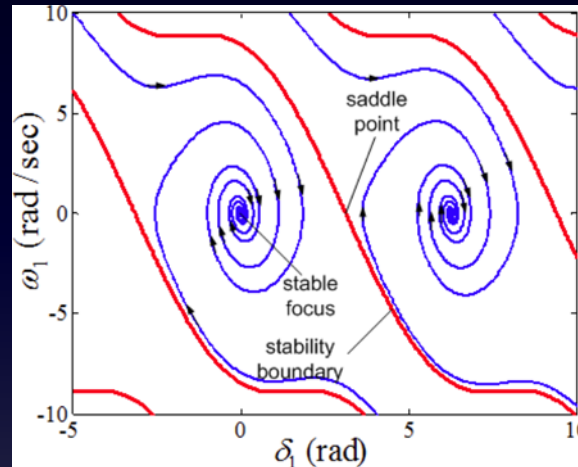
if P_L not connected



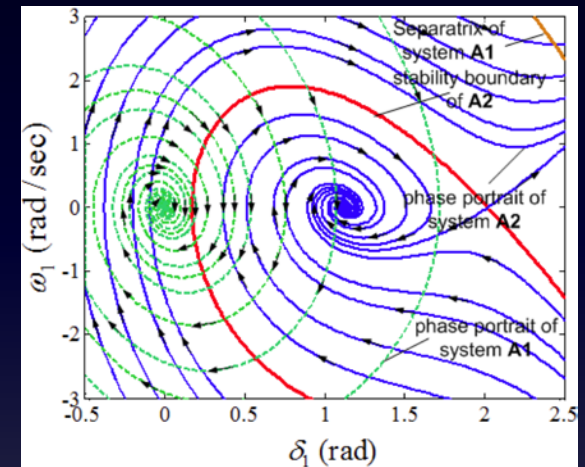
Step 2: Existence of Sliding Mode



Phase Portrait of A₁

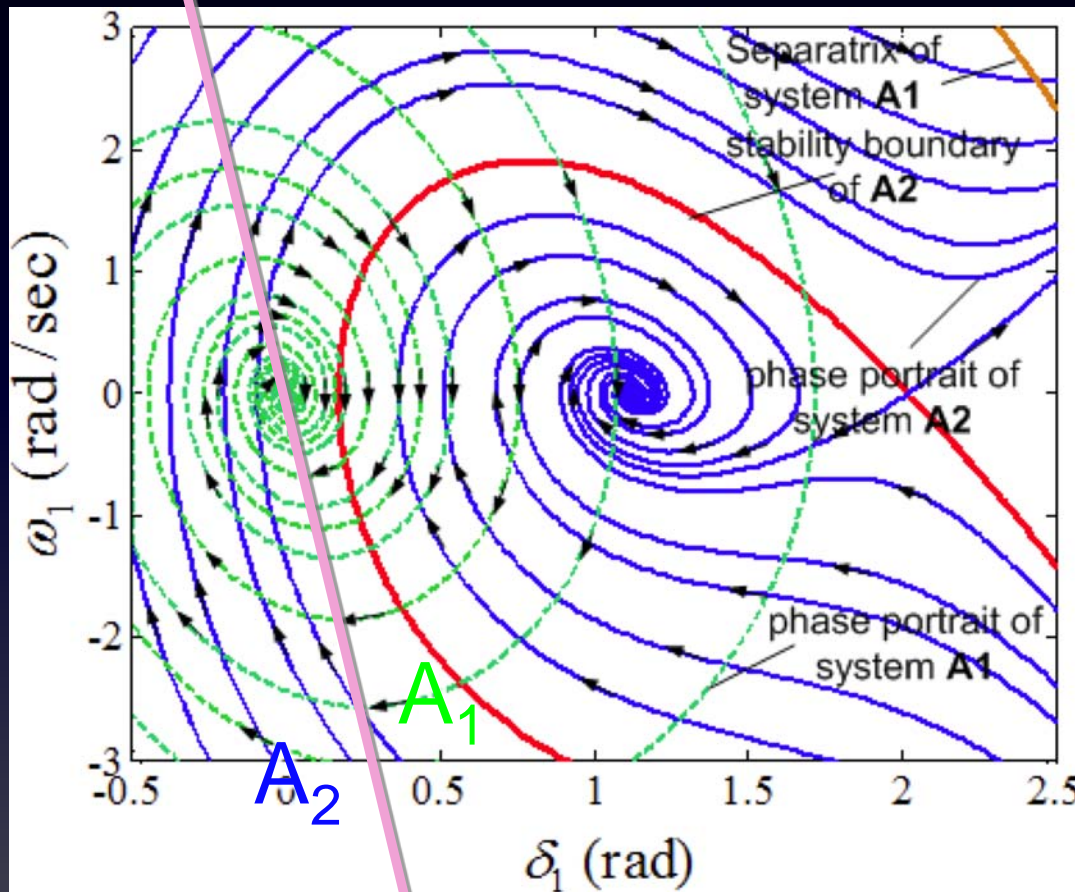


Phase Portrait of A₂



Overlapping Close-up

Step 2: Existence of Sliding Mode



$$s = 6\delta_1 + \omega_1 \quad \text{VALID SLIDING SURFACE}$$

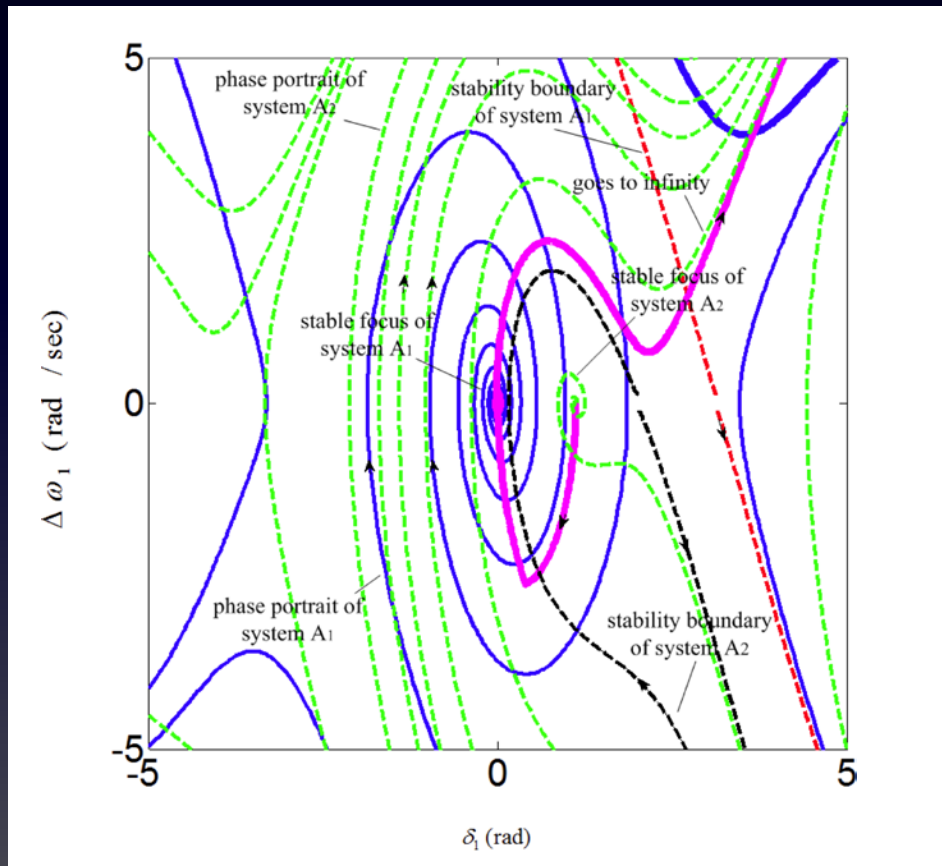


Step 3: Assign $s(x)$ for attack

$$s = 6\delta_1 + \omega_1$$



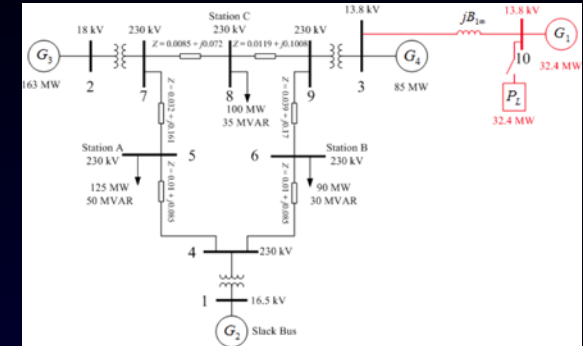
Attack Simulation on SMIB Model



Switching applied
From 0 s to 2.5 s.

Attack Simulation on WECC

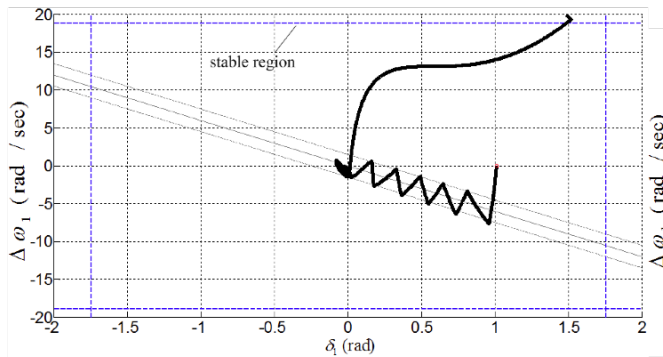
■ PSCAD Simulations



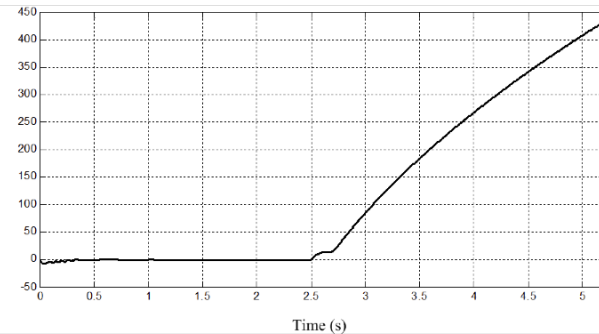
Name	Parameter	Gen 1	Gen 2
Rated RMS Line-Line Voltage	V_{gl-l}	13.8 kV	16.5 kV
Active Power	P_g	36 MW	100 MW
Power Factor	pf_g	0.8	0.8
Frequency	f	60 Hz	60 Hz
Direct axis unsaturated reactance	X_d	1.55	0.146
D axis unsaturated transient reactance	X_d'	0.22	0.0608
D axis open circuit unsaturated transient time constant	T_{do}'	8.95 sec	
Q axis unsaturated reactance	X_q	0.76	0.0969
Q axis unsaturated transient reactance	X_q'	N.A	0.0969
Q axis open circuit unsaturated transient time constant	T_{qo}'	N.A	0.31
Inertia Constant	H	0.5 sec	23.64

Name	Parameter	Gen 3	Gen 4
Rated RMS Line-Line Voltage	V_{gl-l}	18.0 kV	13.8 kV
Active Power	P_g	163 MW	85 MW
Power Factor	pf_g	0.8	0.8
Frequency	f	60 Hz	60 Hz
Direct axis unsaturated reactance	X_d	0.8958	1.3125
D axis unsaturated transient reactance	X_d'	0.1198	0.1813
D axis open circuit unsaturated transient time constant	T_{do}'	6.0	5.89
Q axis unsaturated reactance	X_q	0.8645	1.2578
Q axis unsaturated transient reactance	X_q'	0.1969	0.25
Q axis open circuit unsaturated transient time constant	T_{qo}'	0.539	0.6
Inertia Constant	H	6.4	3.01

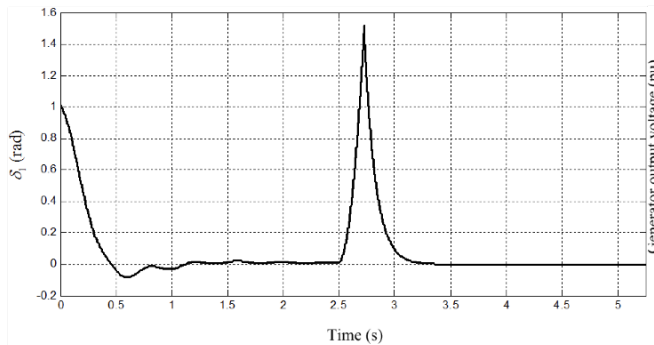
Attack Simulation on WECC



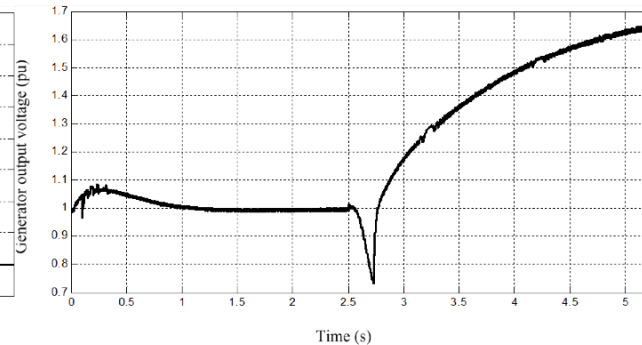
(a) System state trajectory.



(b) G_1 deviation from nominal frequency.



(c) G_1 phase angle.



(d) G_1 output voltage.



Final Remarks

- Coordinated variable structure switching attacks represent a new class of attacks aimed specifically to disrupt power system operation.
- Hybrid dynamical system models are effective tools in vulnerability analysis.



Where should we go from here?

- Develop **common problem formulations** within community
 - Exciting area, but still ad hoc
- Encourage greater **collaboration** amongst power system researchers, control theorists and information technology community





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